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J.O.

Attorney Docket No.: MTI-31470

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Zheng, Lingyi A. Examiner: KENNEDY, Jennifer M.  
Serial No. : 09/912,041 Group Art Unit: 2812  
Filing Date : July 24, 2001 Confirmation No.: 4539  
For : Improved Cell Nitride Nucleation on Insulative Layers and Reduced Corner Leakage of Container Capacitors

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

**37 CFR 1.8(a)**

**37 CFR 1.10**

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**Transmission**

☐ transmitted by facsimile to Fax No. : addressed to Examiner at the Patent and Trademark Office.

Date: 1/15/03

Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent Form PTO-1449 are those patents and other publications known to Applicant that may be considered material to the patentability of the claims of the above-identified application.

Applicant respectfully requests that the documents listed on Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached Form PTO-1449 be returned to the undersigned in accordance with MPEP 609. No fee is due for consideration of these items because this statement is submitted prior to the issuance of an Office Action on the merits.

Respectfully submitted,

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Dated: January 15, 2003

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